



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
08/092,974	07/16/93	ROSENBERG	L 10369900100

PAULA N. CHAVEZ
WARE & FREIDENRICH
400 HAMILTON AVE.
PALO ALTO, CA 94301

03B1/1109

2609

DATE MAILED: 11/09/93

NOTICE OF INCOMPLETE APPLICATION

A filing date has NOT been assigned to the above identified application papers for the reason(s) shown below.

1. ☐ The specification (description and claims):
- a. ☐ is missing
 - b. ☐ has pages _____ missing
 - c. ☐ does not include a written description of the invention.
 - d. ☐ does not include at least one claim in compliance with 35 U.S.C. 112.

A complete specification in compliance with 35 U.S.C. 112 is required.

2. ☒ A drawing of Figure(s) 4 described in the specification is required in compliance with 35 U.S.C. 111.
3. ☐ A drawing of applicant's invention is required since it is necessary for the understanding of the subject matter of the invention in compliance with 35 U.S.C. 113.
4. ☐ The inventor's name(s) is missing. The full names of all inventors are required in compliance with 37 CFR 1.41.
5. ☐ Other:

All of the above-noted items, unless otherwise indicated, must be submitted within **TWO MONTHS** of the date of this notice or the application will be returned or otherwise disposed of. Any fee which has been submitted will be refunded less a \$ 130 handling fee. See 37 CFR 1.53(c).

The filing date will be the date of receipt of all items required above, unless otherwise indicated. Any assertions that the items required above were submitted, or are not necessary for a filing date, must be by a petition directed to the attention of the Office of the Assistant Commissioner for Patents accompanied by the \$ 130 petition fee (37 CFR 1.17(h)). If the petition states that the application is complete, a request for refund of the petition fee may be included in the petition.

Direct the response and any questions about this notice to, Attention:
Application Processing Division, Special Processing and Correspondence Branch.

A copy of this notice MUST be returned with the response.

Enclosed:

- ☐ "General Information Concerning Patents." See page _____
- ☐ Copy of a patent to assist applicant in making corrections.
- ☒ "Notice to File Missing Parts of Application," Form PTO-1532.
- ☐ Other: _____


Application Processing Division
(703) 308-1202



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APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTY. CHECK NO./TITLE
087092,974	07/16/93	ROSENBERG	1036774/1109

03B1/1109

PAULA N. CHAVEZ
WARE & FREIDENRICH
400 HAMILTON AVE.
PALO ALTO, CA 94301

2609

11/09/93

DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION NO FILING DATE

(Enclosure to Form PTO-1123)

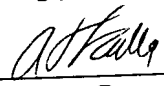
Required items 1-9 below **SHOULD** be filed, with any items required on the "Notice of Incomplete Application" enclosed with this form. The filing date of this application will be the date of receipt of the items required on the "Notice of Incomplete Application." If items 1 and 3-6 below are submitted after the filing date, THE PAYMENT OF A SURCHARGE OF \$ 130 large entities or \$ 65 for small entities who have filed a verified statement 37 CFR 1.27 claiming such status will also be required. (37 CFR 1.16(e)).

The total amount owed by applicant as a ☐ large entity ☒ small entity (verified statement filed) is \$ 11.00.

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity must submit \$ _____ to complete the basic filing fee.
2. ☒ Additional claim fees of \$ 11 as a ☐ large entity, ☒ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☒ The oath or declaration:
☐ is missing.
☒ does not cover items required on "Notice of Incomplete Application."
An oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Receipt Date, is required.
5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration: _____. An oath or declaration signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date, is required.
7. ☐ A \$ _____ processing fee is required for returned checks. (37 CFR 1.21(m)).
8. ☐ The application does not comply with the Sequence Rules. See attached Notice To Comply with Sequence Rules 37 CFR 1.821-1.825.
9. ☐ Other:

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch.

A copy of this notice MUST be returned with the response.


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(703) 308-1202